Syllabus

One of the most important effects -- and purposes -- of the Law is to organize the normative dimensions of Public Administration. Law in great part sketches what should be the roles of public administrators in governance. Furthermore, Law also regulates how government should be conducted, channeling the participation of the public and the actions of managers. Too often, political and administrative actors attempt to elude legal prescriptions in pursuit of managerial efficiency and policy objectives. The temporary benefits from quick action are often more than balanced by erosion in the public's trust of government.

Thus Law, especially Administrative Law, is a critical component of Public Administration. This fact has often been obscured by an obsession within the field for efficient management. Law guides the administrative process though some lament, especially those who emphasize management as an objective science, unduly circumscribes it. Furthermore, Law also structures the context in which administration takes place. The Constitution and its prescriptions for fundamental political and administrative machinery creates the setting, or conceptually, the sociopolitical space, in which the seemingly overwhelming sea of rules and regulations can be generated by all types of administrative agencies at all levels of government.

Focus

Administrative Law is the core of the course. Administrative Law is typically the non-Constitutional body of rules and prescriptions about how public administrators and agencies should act. In a few cases some concerns have become Constitutional issues, such as public access to agency documents. In the main, however, administrative law is a detailed body of judicial and statutory rules and prescriptions for public actors and agencies. The pervasive effects of the Administrative State can be ascertained by the increasing constitutional issues in Administrative Law.

Most textbooks concentrate on the national level of government. The concentration reflects the amount of material available on that level and the relative lack of such material on the other levels. Additionally, the other levels are marked by an incredible diversity in their Administrative Law. The diversity and lack of, if not inconsistency in, basic materials at other levels requires our attention be primarily focused on the national level. State and local levels will be examined primarily through student assignments as noted in the Procedures section, below. However, the course examines the material conceptually and the concepts are the same at all levels of government. Be aware that the national government was historically more professional than other levels. This sadly began to change with the Nixon administration's attempt to negate the policy and political roles of administrators. The circumscription was pursued by both the Carter and Reagan administrations in particular, leaving the federal government increasingly similar to state governments. The Bush administration has continued the policy.

As with any graduate course, the primary learning experience is theoretical, especially in how that theory can guide effective professional practice. In terms of content, the course looks at the evolution of the law generally, the development of the "Administrative State" and why Americans have had a difficult time reconciling the two. We will note how the reconciliation is influenced by culture, politics, economics and other perturbations in American society.
Primarily, we will study leading cases, discussing how the outcomes were effected by the noted variations.

**Scope**

Only American law is studied. Non-American law has a very different structure and process, the study of which is quite instructive. However, that study would inhibit learning American Administrative Law, the core of the course. Leading cases, especially at the national level, are the medium by which the American law will be explicated.

Current rules and prescriptions are of guiding concern. Not as specifics to be memorized but as normative dimensions to management and governance. History is important because past events determine in great part current law. However, the history of Administrative Law is examined only to the extent it relates to contemporary rules and prescriptions. Focus on the "why" of the rules and prescriptions; do not just catalogue these. Learning the "why" provides a base for strategic management and governance because you will understand better the complex dynamics that drive our Administrative State.

Most importantly, the theoretical focus is on the macro politics of Administrative Law. Thus, rules are studied not as legal prescriptions only but as part of the political process. In essence, the importance of the course to administrators - and students thereof - is not to master a body of the Law. The purpose is to understand what situations can take you to the judicial system, how administration fares there and, most importantly, who tends to win when administrators go to court. When you need legal advice go to the agency attorneys. In this course, you will learn how to avoid going to the judicial system if possible; how to minimize harm if you land there; and the general nature of the Law as well as the specific rules of Administrative Law.

**Learning Objectives**

Each course in a curriculum should advance your learning of a body of knowledge. In addition to the substantive knowledge, a professional degree program should inculcate a set of ethics, a code of conduct for those who are going to be professionals, and more importantly, a core set of values that structure the ethics. At the conclusion of your study of Administrative Law, you should:

1. understand the origins and development of our legal system;
2. apprehend the role of law in the profession of Public Administration;
3. grasp the nexus of politics, law and Public Administration;
4. appreciate the case method of settling disputes and its potential use in management; and
5. know the Constitutional foundations to Public Administration.

*Lawyers come to think of administrative law and the administrative process as significant and worthy of study only in those areas where private interests think it worth their while to demand protection.*

*Jaffe & Nathanson*
Procedures

With the exception of the introductory materials on the growth and evolution the Law and the Administrative State, the case method of instruction will be utilized. This method develops the ability of a student to stay "cool" under fire, a prerequisite for public administrators in an open society often characterized by transactional politics. (Transactional politics personify the infamous "you help me, I'll help you" school of organized backscratching. In such politics, the main course of action is the trading of favors and a focus on power.) As in law school, this means people will be called upon to present a case and engage in a Socratic dialogue around its various aspects. A form explaining how to "brief" a case is on the CD-ROM that has all the non-textbooks readings for the course. A brief is a summary of the case focused on its legal significance. For our purposes, we will add how the case contributes - or doesn't, as the case may be - to Public Administration. Students, therefore, are expected to read the cases before class and be prepared to discuss these. This form of class participation will be graded.

How to brief a case will be discussed. However, be aware that talking about it only provides some intellectual insight; most students only learn how after experiencing some difficult moments in class. Do not take these difficulties personally; that is, do not internalize them. If you do, they will become demons that can drive out thought and peace. Treat them as learning experiences and be better prepared for the next dialogue with the indefatigable professor. This advice, by the way, can be generalized. As a general guide it can be most helpful because in many instances public administrators are faced with difficult situations fraught with tension, adverse consequences no matter what strategy is selected and over which they have little, if any, control. Nowhere is this more likely to be valid than in your dealing with the media. As they say in boot camp - "get used to it; it is how we do business."

Each student must present at least two (2) cases. Each student can pass if called upon to present a case, but only two (2) passes are permitted. Passing for the third time results in a loss of points for class participation. (It should be emphasized that though I do not deduct for missing class, you will lose credit if you are called upon but are absent. I call upon people in a truly random process prepared prior to class so you may be called upon if absent without excuse.) The material is difficult to learn without steady attendance. Lack of attendance is not an excuse for unpreparedness or poor work; nor is it an excuse for presenting less than two [2] cases.

Hopefully, this type of a class procedure will not forestall questions. Asking questions is one of the most effective ways to learn, especially in an arcane field with which you may have only superficial knowledge and little experience. After a dialogue over a case with a student is concluded, feel free to ask questions you may have from the case, the readings or from the dialogue itself.

The cases we will study are listed in the required reading sections of the Order of Study section below. The case names are italicized and the page number on which the case begins in the textbook is noted. Many of the cases in the case textbook are followed by "Notes." Many times these are not required reading. You may find it enlightening, however, to peruse these.

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Jaffe & Nathanson
Often, the particular case is placed in a legal context, updated or clarified. This type of information can be helpful in presenting the case, and in some instances, understanding it.

More importantly, some of the notes actually deal perceptively with the administrative and/or political aspects of the case. In many instances, the textbook talks about the nature of Public Administration and the Notes following cases can contain some interesting nuggets of insights in the Administrative State, sometimes even using that term. These will reward careful reading and the application of systematic thinking. Cases that illuminate the nexus of politics, Law and management are marked by an asterisk (*). Notes after these cases are especially recommended reading.

Each student will select a state or local agency and examine five (5) recent cases involving the agency. Alternatively, a student may select a significant topic in Administrative Law and develop a typical research paper on it. For the first type of paper examining cases of a state or local agency, recent is a relative term and means those cases dealing with aspects of Administrative Law most close to the current date. The findings are to be explicated in a typed double-spaced paper of fifteen (15) pages or less. Fifteen (15) is a maximum limit not a target all must hit; excellent papers can be shorter. For the more typical research paper, twenty (20) pages is a limit. The papers are due the last class meeting. LATE PAPERS LOSE POINTS!

Each agency paper must cover the following points. Additional points can be studied. The exact order depends upon your needs, style and research design.

1. Background of Agency
2. Major Policies Implemented
3. General Structure
4. Legal Issues
5. Resolution of Issues
6. Impacts of resolutions on the Agency, particularly its management

Doctoral students will also write four (4) think papers. A fifth (5th) think paper is optional. If a student writes a fifth paper, the lowest of the five (5) grades on think papers will be discarded. Think papers should explore the connections between Public Administration and Law conceptually. A Think Paper is an intellectual exercise of examining a concept and its utility or disutility for understanding and/or explaining a phenomenon of interest. In essence, you play with a thought. For example, you may want to remark how muddled the concept of "law" is. A Think Paper permits you to examine the nature of the concept and its utility for understanding or explaining some aspects of Public Administration. The Paper needs no specific library or other research nor does it need footnotes. Each Think Paper should be no longer than three (3) pages in length and word processed with double spacing.

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The final examination will be a take-home varietal due the date listed below in the Assignments to be Handed-in and Critical Dates sections. As with the papers, LATE EXAMINATIONS LOSE POINTS!

Doctoral students have the option to replace the Final Examination with an in-depth critique of a recent book in the area of Law and Public Administration. Critique is more than a review. Critical examination demands evaluating the book, both intrinsically and in terms of what it adds, or doesn’t add, to the field.

Honor Code

The Public Administration Program in the Levin College does not have a formal Honor Code. Often, a program requires students to sign such a Code which details the ethics that should guide behavior of both Faculty and students. In this course, we will adhere to the following Honor Code. Any questions about the Code should be asked prior to engaging in any behavior that one thinks may be under its provisions. The students and Professor will enforce an Honor Code that includes but is not limited to the following:

1. Each student shall treat all students and their opinions with respect.

2. Each student shall diligently complete all assignments.

3. A student shall do his or her own work. Any work taken from others will be correctly footnoted and acknowledged.

4. All problems with any aspect of the class or with any other student shall be reported to the Professor in a timely fashion.

5. The Professor shall clearly state course goals and how these relate to professional needs.

6. The Professor shall treat all students in a similar and just fashion, varying any treatment to meet course goals and/or the specific needs of a student.

7. The Professor shall timely return all assignments, complete with explanation of why they received the grades they did.

8. The Professor will answer all relevant and appropriate questions and be available to meet with students at stated times.

Punishment for failure to comply with the above provisions will be fair, formal and clear. In the case of rule 3, conscious plagiarism, the unacknowledged use of another's materials as one's own with the intent to do so, will result in a F for the course. The punishment reflects the

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nature of the crime; it is repugnant to personal, academic and professional integrity.

**Grading**

Each paper that is turned in will be returned. The paper will have two (2) types of comments. One type will be at the end of the paper and summarize the main reasons for the grade. Another type will be in the margins, recording reactions to particular parts of the paper. These second type of comments may congratulate for an insight, criticize a lapse in logic or just note that a point is interesting. These comments may or may not affect the grade. In addition, you will see the following abbreviations, usually above bracketed ({} ) words or phrases - *sp.* for a misspelling and *ww* indicating a wrong word was used. If a paper is submitted electronically, the grade will be at the top center of the file and comments will be in brackets, [ ]. The file will have comments at the end explaining the grade. Comments may be in the body of the paper also. Just search for brackets and you will see all comments. As I have a home computer network, papers may be submitted from any popular word processor.

**All papers are to be word processed.**

The course grade is a weighted average with the weights assigned as noted below. The paper and class participation receive letter grades. Letter grades are translated into numeric scores for calculating the final average according the first scale below. Final numeric averages are translated back into letter grades according to the second scale below. Note that plus and minus grades are recorded and official.

Be aware that averages are rounded at ".5" Thus, an "89.5" is an "A-" and an "89.4" is a "B+.">

<table>
<thead>
<tr>
<th>Component</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class Participation (two [2] Case Presentations)</td>
<td>20%</td>
</tr>
<tr>
<td>Paper on Agency and 5 Recent Cases or topic paper</td>
<td>30%</td>
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<tr>
<td>Final Examination</td>
<td>50%</td>
</tr>
</tbody>
</table>

**Doctoral Students**

Same grading as above, except for:

<table>
<thead>
<tr>
<th>Component</th>
<th>Weight</th>
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</thead>
<tbody>
<tr>
<td>Think Papers, 5% each</td>
<td>20%</td>
</tr>
<tr>
<td>Final Examination or Book Critique</td>
<td>30%</td>
</tr>
</tbody>
</table>

**Scale 1**

A+ = 99, A = 95, A- = 91, B+ = 89, B = 85, B- = 81, C+ = 79, etc.

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Scale 2

92.5 and above = A, 89.5 - 92.4 = A-; 86.5 - 89.4 = B+, 82.5 - 86.4 = B; 79.5 - 82.4 = B-; 76.5 - 79.4 = C+, 72.5 - 76.4 = C; 69.5 - 72.4 = C-; etc.

Texts

There are two (2) required textbooks for the course as well as reserved readings. The textbooks are in the CSU Bookstore. The reserved readings are available on a CD-ROM that will be available in class at a nominal price. The price covers the cost of the medium, the CD itself. All of the reserve readings, and many of the handouts, are on the CD. All files are in pdf format and can be opened in Adobe Acrobat Reader, a free program available from Adobe. Current versions of the Reader are also on the disk for a variety of operating systems.

Jerry L. Mashaw, Richard A. Merrill and Peter M. Shane, **Administrative Law: The American Public Law System** (5th edition)

David Rosenbloom, **Administrative Law for Public Managers**

Office Hours

I have scheduled office hours on Tuesdays and Thursdays. On these days, I will be available from 2:00 to 6:00 PM in my office, Room 130, located in the Public Management, Urban Technical Assistance Group (UTAG) office area on the ground floor of the Urban Affairs Building (UR) or its formal name of Glickman-Miller Hall. The Urban Affairs Building is the western most building of the University on Euclid Avenue, across 18th Street from the Cleveland-Marshall College of Law. If these are not convenient times and places, we can set a mutually advantageous time and place.

My office number is 687-2173. Most of the time you will hear a humorous (depending upon individual proclivities, of course) yet serious narrative after which you can leave a message and a phone number where you can be reached. I tap my messages at least once a day when in town and rather promptly return calls.

My fax number is 216-227-1276. Note this is not the CSU or Department of Urban Studies fax number. The latter is 216-687-9291.

Electronic Mail

A useful way to reach me is to send Electronic Mail, the infamous E-Mail. Both the University and the College provide free E-Mail service. In addition, both provide access to the Internet. As these are nearly free goods according to my Economist colleagues, given the minimal technology fee that is assessed, the price is right for experimentation and extensive use. You should learn how to use E-Mail and access the Internet while a student. This skill can also be usefully noted
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on your resume.
Also, both the University and the College provide access to a variety of operating systems and application software. It may be rewarding to learn as much of the software as possible, a feat which can be duly noted on a resume. In addition, graduate work should be computer processed, from word processing of papers and examinations to spreadsheets and presentation software for presentations. The College has Computer Lab personnel who may be very helpful in determining what software is most appropriate for what type of project.

Several software packages exist for E-Mail. If you do not have access to any, you logon to either the University or the College and use the network software. The College has prepared handouts that detail the software and how to use it. In addition, training sessions are offered at the start of each quarter. Both those in the College and those at the University level are worth attending. There is no registration costs as these are also covered by the technology fee.

My full preferred E-Mail address is larry@urban.csuohio.edu. Note this is a different address than the one listed in the Faculty-Staff Directory and on the CSU web site. However, any mail sent to that address should be forwarded to the above address.

Assignments to be Handed-in

**Think Papers** (5), conceptual explorations of the connections between Public Administration and Law of three (3) pages or less; first paper due the fourth class meeting, 8 February, and then every three (3) weeks thereafter. The fifth paper is optional and if written, the lowest think paper grade will be discarded. *Doctoral Student Assignment only*

**Paper**, State or Local Agency and five (5) recent court cases on the agency’s administrative process, fifteen (15) pages or less, due Thursday, 3 May

**Research Paper**, major topic in Administrative Law, in lieu of agency paper above, twenty (20) pages or less, due Thursday, 3 May

**Final Examination**, take-home, each question has its own page limitations for answers, due Wednesday, 9 May

Critical Dates

- First Day of Class - Thursday, 18 January
- Last Day to Withdraw - Friday, 29 March
- Last Day of Class - Thursday, 3 May
- Paper due - Thursday, 3 May
- **Think Papers** (5), first one due - 8 February
- Second **Think Paper** - 1 March
- Third **Think Paper** - 22 March
- Fourth **Think Paper** - 12 April
Fifth and final **Think Paper** - 3 May  
No Class - Spring Break, 11 - 18 March

**Final Examination** Distributed to Class - Thursday, 19 April

Completed **Final Examination** Due - Wednesday, 9 May by 6:00 PM

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Order of Study

Part I: The Development of the Law
The Lord giveth and the Lord taketh away...

Topic 1 The Context of the Common Law
Olde England was Merry for the Few!

Required Reading
Barbara Tuchman, A Distant Mirror: The Calamitous 14th Century, chapters 1 and 2.

Topic 2 The Making of the Law
Lawyers were Born and Made!

Required Reading


Topic 3 Legal Reasoning
Oh, can a Lawyer Scheme...

Required Reading

William N. Hohfeld, Fundamental Legal Conceptions as Applied in Judicial Reasoning, chapter 1. (optional reading for those with an interest in the arcane)

Regina v. Ojibway

Topic 4 The Rise of Administration and Administrative Law
Bureaucrats and Lawyers Unite!

Required Reading

David Rosenbloom, Administrative Law for Public Managers, Chapter 1 (hereinafter Rosenbloom).

The importance of a technique in transforming American life could roughly be measured by the quantity of lawyerly energies it called forth. It is not surprising, then, that while the century after the Civil War produced few legal classics and not many great American judges, there was a bumper crop of rich and famous American lawyers.

Daniel J. Boorstin

**Topic 5**  The Issues in American Administrative Law
Can Government survive the People? Vice versa?

**Required Reading**
Rosenbloom, Chapters 4 and 5.

**Part II:** American Administrative Law
And during the travel, Moses generated rules and regulations...

A. Delegation
   How many Guards are needed to guard the Guards?

**Topic 6**  Who can Empower Whom and for What?
Is there life after Judicial Review?

**Required Reading**
Rosenbloom, chapter 2.
Mashaw, pp. 154 - 181.


[A word for the wise and engaged student. You will find it a very worthwhile endeavor to read the supplemental materials before and following the cases. Some will be required reading and listed on the Syllabus. All are rewarding reading.]


*Public Citizen v. Young*, 831 *F2d* 1108, p. 139.
The individualist may have imagined that in his [sic] economic life he was the person that God and his own will had made. But in fact he was a juristic creature of the Law that happened to prevail in his epoch. For as Ernst Barker has said: "It is not the natural ego that enters a court of law. It is a right-and-duty bearing person, created by the law, which appears before the law."  

Walter Lippman

Topic 7  Political Control of Administration  
Who can rein in the clerks?

**Required Reading**

Mashaw, pp. 182 - 189.

* Buckley v. Valeo, 424 U.S. 1, p. 190.  


Topic 8  Administrative Process and the Constitution - Some History  
When may the Citizen contest the Clerk?

**Required Reading**

* Londoner v. Denver, 210 U.S. 373, available on the Internet  
  (see http://www.supremecourtus.gov/ for how to obtain opinions)


* Morgan v. United States, 304 U.S. 1, available on the Internet.


* Board of Regents of State Colleges v. Roth, 408 U.S. 564, p. 354.
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Daniel J. Boorstin

**Syllabus - Administrative Law**

Order of Study

Page 13

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**Topic 9** The Administrative Procedures Act - Interpretation of Adjudicatory Rights

Who stated Legislators must use English?

**Required Reading**


Mashaw, pp. 423 - 434.

**Nash v. Califano**, 613 F2d 10, p. 443.


Mashaw, pp. 470 - 473.

**Topic 10** Procedures for producing Rules

To do? To do or die? To sit?

**Required Reading**


Mashaw, pp. 488 - 493.


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Daniel J. Boorstin
Topic 12  Information and the Agency
Gathering Information on the Citizen and the Corporation

**Required Reading**


Mashaw, pp. 665 - 687.


Topic 13  Government and Immunity
No Sue the Uncle Sam?

**Required Reading**


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Walter Lippman
Topic 14  Private Rights, Public Law
Sue the Bas....

Mashaw, pp. 1181 - 1187.


Mashaw, pp. 1226 - 1235.

*Pennhurst State School and Hospital v. Halderman*, 451 U.S. 1, 1235.

Mashaw, pp. 1244 - 1249.


Mashaw, pp. 1280 - 1283


Topic 15  Future and Discretion
Balancing on a Moving Beam


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January, 2007

**Printing Date:** 2 January 2007

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